



4 Century Hill Drive, Latham, NY 12110 / (518) 782-0209 / (800) 688-1045 / www.capcomfinancial.com

Confidential Personal and Financial Profile

Please complete this profile as completely as possible and return it to us prior to your appointment. Copies of financial statements should be included as well. The information you provide will help make your appointment more productive.

How did you hear about us?

Personal Information	
Name	
CCFCU Account #	
Social Security #	
Date of Birth	
Address	
City, State, Zip	
Home Phone #	
Cell Phone #	
Email Address	
Marital Status	

Spouse or Partner Information	
Spouse's Name	
CCFCU account #	
Social Security #	
Spouse's Date of Birth	
Spouse's Employer	
Job Title	
Business Address	
City, State, Zip	
Work Phone #	
Annual Income	

Employment Information	
Employer	
Job Title	
Business Address	
City, State, Zip	
Work Phone #	
Annual Income	

Dependents	
Childrens Names	
And Ages	
CCFCU Account #s	

Financial Information	
Number of Years Investment Experience in:	
Stocks	
Bonds	
Mutual Funds	
Options	
Margin	
Partnerships	
Annuities	
Other (please explain)	

Which items would you like help with? (Rank from 1-5)	
	Investment Advice
	Financial Security at Retirement
	Reducing Taxes
	College Savings
	401(k)/IRA Rollover
	Life Insurance
	Plan for long term or nursing home care
	Create income from my investments
	Provide for my family in event of my death

